

Audit Reviews (Position As At End of Q2 2013/14)					Appendix 2	
Audit Plan Year	Final Report Date (Month)	Audit Review Title	Status	Assurance Level	Recommendations	
					Made	Agreed
2012/13		Bath Transport Package	Draft			
2012/13		Design & Structures	Draft			
2012/13	May-13	Revenues Estate Management	Finalised	4	5	5
2012/13	May-13	Safeguarding Adults	Finalised	5	3	3
2012/13	May-13	School Theme - Personnel & Payroll	Finalised	3	6	6
2012/13	May-13	Planning Enforcement	Finalised	3	8	8
2012/13	Jun-13	Waste Collections Final Report	Finalised	4	7	7
	Jul-13	Budgeting - Control of Virements	Finalised	4	3	3
2012/13		2012/13 Carry Forward - Anti Fraud & Corruption - Expenditure	WIP			
2012/13		2012/13 Carry Forward - Anti Fraud & Corruption - Income	WIP			
2012/13	Jul-13	2012/13 Carry Forward - Heritage Review	Finalised	5	1	1
2012/13		2012/13 Carry Forward - Parking Review	WIP			
2012/13	Jul-13	2012/13 Carry Forward - Pensions Investments	Finalised	5	3	3
2012/13	Jul-13	2012/13 Carry Forward - Public Transport	Finalised	4	4	4
2012/13	Jun-13	2012/13 Carry Forward - SIMS Application	Finalised	4	3	3
2013/14		Anti Fraud & Corruption - Bribery & Corruption	WIP			
2013/14		Anti Fraud & Corruption - Expenditure	WIP			
2013/14		Anti Fraud & Corruption - Income	WIP			
2013/14		Parking IT System (Contract Management)				
2013/14		Parking Permits				
2013/14		Personalised Budgets (incl monitoring of expenditure & co-ordination between Finance Team / Non Acute & Social Care)				
2013/14		Accounts Payable	WIP			
2013/14		Development Control	WIP			
2013/14		Cash Receipting System / Civica Icon Application (incl e-pay)	WIP			
2013/14		Northgate Revenues & Benefits Application				
2013/14		I.T. Business Continuity and Disaster Recovery				
2013/14	Jul-13	Park & Ride	Finalised	4	2	2
2013/14		Public Health & Clinical Commissioning Group - Statutory Responsibilities and Financial Governance				
2013/14		Pensions Payroll				
2013/14		Accounts Receivable				
2013/14	Sep-13	Themed School Review - Risk Management	Finalised	4	2	2
2013/14		Capital Expenditure	WIP			
2013/14		Community Care Grants / Crisis Loans				
2013/14		Themed School Review - Procurement				
2013/14		Sirona Contract Management - Community Care Budget Management, Client Assessment & Contributions, Statutory responsibilities (monitoring & delegation)				
2013/14		Electronic Commerce (including PCIDSS)				
2013/14		PAYE & NICS (Incl new HMRC Monthly Info provision & ResourceLink Application)				
2013/14		Early Years Entitlement	WIP			
2013/14		Fostering & Adoption				
2013/14	Jul-13	Housing Improvement/Adaptions	Finalised	4	6	6
2013/14		IT (Software Licensing)				
2013/14		IT System Management and Control				
2013/14		Cash Collection & Banking (scope to include a general review of Council Cash Collection - Bristol CC)	WIP			
2013/14		Themed School Review - Unofficial Funds				
2013/14		Parking Income Collection	WIP			
2013/14	Aug-13	Insurance	Finalised	4	1	1
2013/14		Passenger Support (incl Home to School Transport, Dial a Ride & Grants)				
2013/14	Sep-13	Licensing	Finalised	4	3	3
2013/14		Council Tax - Collections, recovery, enforcements & write-offs (incl Bristow & Sutor portal)	Draft			
2013/14		IT Physical & Environmental Controls				
2013/14		Energy Management (requires input from Sustainability Team)				
2013/14	Jul-13	Residential & Nursing Care Payments	Finalised	4	5	5
2013/14		Highway Electrical & Intelligent Transport Systems, UIMC - Traffic Signal System, Mayrise Buchanan Traffic Accident Street Lighting Software				
2013/14	Aug-13	Connecting Families	Finalised	4	9	9
2013/14		Payroll - Additions & Deductions (Focus on Pensions Auto Enrolment)				
2013/14		Purchasing Card System				
2013/14		Flood Alleviation				
2013/14	Sep-13	Heritage - Collections	Finalised	4	5	5
2013/14		Legal Services	Draft			
2013/14		Building Control				
2013/14		Safer Recruitment				
2013/14		Fleet Management - Vehicle Management & Maintenance (including Vehicle Procurement & leasing, MOT Trading Activity)				
2013/14		Parks & Green Spaces				
2013/14		VAT				
2013/14		Leased Assets				
2013/14		Community Rights (Statutory Obligations)				
2013/14		Info Gov - Transparency (access to information and management of FOI / EIR / DP Requests)				
2013/14		IT Procurement & Disposals (Hardware / Software)				
2013/14		Payroll - Processing, Payruns & Reconciliations				
2013/14		Electoral Services Bank Accounts	Draft			